

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	09/284,683
				Filing Date	06/24/1999
				First Named Inventor	Gregor Cevc
				Art Unit	1615
				Examiner Name	G. Kishore
Sheet	1	Of	5	Attorney Docket Number	35946-701.831

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. [†]	Document Number Number-Kind Code [‡] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
1.	US 2004/0105881A1		06-03-2004	Cevc	
2.	US 2007/0243203A1		10-18-2007	Abrecht et al.	
3.	6,028,066		02-22-2000	Unger	
4.	6,654,337		08-05-1997	Roentsch et al.	
5.	6,726,925		04-27-2004	Needham	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. [†]	Foreign Patent Document Country Code [‡] - Number - Kind Code [‡] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
6.	CA 2067754		02-25-1992	Cevc	
7.	CA 2160775		11-24-1994	Meconi et al.	
8.	EP 0152376 (English language abstract)		08-21-1985	Budliger et al.	
9.	EP 0298280		01-11-1989	Nicolau et al.	
10.	EP 1031347		08-30-2000	Stieber et al.	
11.	HU 0104424 (English language abstract)		03-28-2002	Cevc	
12.	HU 0105400 (English language abstract)		05-29-2002	Cevc et al.	
13.	HU 9903363 (English language abstract)		03-28-2000	Cevc	
14.	JP 61-271204 (English language abstract)		12-01-1986	Kaneki et al.	
15.	WO 00/50007		08-31-2000	Patel et al.	
16.	WO 01/01962		01-11-2001	Cevc	
17.	WO 01/01963		01-11-2001	Cevc et al.	

Examiner Signature	/Gollamudi Kishore/	Date Considered	11/19/2008
EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. "Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Document at www.uspto.gov or MPEP 609.1. Patent Office maintains the document by the first-letter code (WIPO Standard ST 3). *For Japanese patent documents, the indication of the year of the reign of the Empress must precede the serial number of the document and of document by the appropriate symbol as indicated on the document under WIPO Standard ST 16 if possible. *Applicant is in place a check mark here if English language Translation is attached.			

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	09/284,683
Sheet	2	Of	5	Filing Date	06/24/1999
				First Named Inventor	Gregor Cevc
				Art Unit	1615
				Examiner Name	G. Kishore
				Attorney Docket Number 35946-701.831	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	18.	ANOSOV et al., "Electrical capacitance of hydrogenated egg lecithin bilayer lipid members in the lipid crystal to gel phase transition", Biofizika 48:240-245, 2003 (English language abstract)			
	19.	BURNHAM et al., "The effectiveness of topical diclofenac for lateral epicondylitis", Clin J Sport Med 8(2):78-81, 1998			
	20.	CEVEC et al., "Ultra deformable lipid vesicles can penetrate the skin and other semi-permeable barriers unfragmented. Evidence from double label CLSM experiments and direct size measurements", Biochimica et Biophysica Acta 1564:21-30, 2002			
	21.	ERJAVEC et al., "In vivo study of liposomes as drug carriers to oral mucosa using EPR oximetry", Int J Pharmaceut 307:1-8, 2006			
	22.	FRISKEN et al., "Studies of vesicle extrusion", Langmuir 16:928-933, 2000			
	23.	HUNTER and FRISKEN, "Effect of extrusion pressure and lipid properties on the size and polydispersity of lipid vesicles", Biophys J 74:2996-3002, 1998			
	24.	OGISO et al., "Membrane-controlled transdermal therapeutic system containing clonazepam and anticonvulsant activity after its application", Chem Pharm Bull 37(2):446-449, 1989			
	25.	SAUNDERS et al., "A novel skin penetration enhancer: evaluation by membrane diffusion and confocal microscopy", J Pharm Pharmaceut Sci 2(3):99-107, 1999			
	26.	Claims in connection with U.S. Application Serial No. 09/555,986 under examination by Examiner Kishore of the USPTO			

Examiner Signature	/Gollamudi Kishore/	Date Considered	11/19/2008
--------------------	---------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 699. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication. *Applicant's unique citation designation number (e.g., "10")
**CITE: Give the number of the document, by the art-unit code (USPTO Standard ST 3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the prior document. *Kind of Document by the appropriate symbol as indicated on the document under WIPO Standard ST 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.70 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO in process) an application. Collection of information may be mandatory by 37 CFR 1.70 and 1.98. The information is used for processing applications, including pre-examination, prosecution, and post-grant proceedings. This collection of information is mandatory under 37 CFR 1.70 and 1.98. This will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	09/284,683
Sheet	3	Of	5	Filing Date	06/24/1999
				First Named Inventor	Grego Cevc
				Art Unit	1615
				Examiner Name	G. Kishore
				Attorney Docket Number	
				35946-701.831	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. [†]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	27.	Pending claims in connection with U.S. Application Serial No. 10/037,480 under examination by Examiner Fortuna of the USPTO			
	28.	Pending claims in connection with U.S. Application Serial No. 10/984,450 under examination by Examiner Fortuna of the USPTO			
	29.	Pending claims in connection with U.S. Application Serial No. 10/357,617 under examination by Examiner Ghali of the USPTO			
	30.	Pending claims in connection with U.S. Application Serial No. 10/357,618 under examination by Examiner Ghali of the USPTO			
	31.	Pending claims in connection with U.S. Application Serial No. 11/545,904 under examination by Examiner Ghali of the USPTO			
	32.	Attachment filed by Opponent November 14, 1996 in connection with EP 0475160B1 Opposition (in German)			
	33.	Patentee's response to Opposition Request filed April 21, 1997 in connection with EP 0475160B1 Opposition (in German)			
	34.	Patentee communication to the EPO filed May 22, 1998 in connection with EP 0475160B1 Opposition (in German)			
	35.	Opponent's response filed May 22, 1998 in connection with EP 0475160B1 Opposition (in German)			
	36.	Decision of EP Opposition Division July 30, 1998 in connection with EP 0475160B1 Opposition (in German)			
	37.	Appeal by Opponent in connection with EP 0475160B1 Opposition (in German)			

Examiner Signature	/Gollamudi Kishore/	Date Considered	11/19/2008
--------------------	---------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). See www.uspto.gov or MPEP §609 for more information. **Indicate the document by a two-letter code (WIPO Standard ST 3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST 7, if possible. *Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 1.97 and 37 CFR 1.14. This collection is expected to take 2 hours to complete, including gathering, preparing, reviewing, and submitting the completed application form to the USPTO. Any comments on the amount of time you require to complete this form would be appreciated for considering this burden. Should you have any questions, contact the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known	
				Application Number	09/284,683
				Filing Date	06/24/1999
				First Named Inventor	Gregor Cevc
				Art Unit	1615
				Examiner Name	G. Kishore
Sheet	4	Of	5	Attorney Docket Number	
35946-701.831					

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	38.	Response by Patentee filed April 19, 1999 in connection with EP 0475160B1 Opposition (in German)			
	39.	English translation of Opposition filed February 6, 1997 in connection with DE 4447287 C1 Opposition			
	40.	English translation of counterstatement of Patentee filed July 11, 1997 in connection with DE 4447287 C1 Opposition			
	41.	English translation of Opponent's response to Office Action filed March 17, 1998 in connection with DE 4447287 C1 Opposition			
	42.	English translation of Patentee's response to Office Action filed February 9, 1999 in connection with DE 4447287 C1 Opposition			

UNPUBLISHED PATENT APPLICATIONS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	43.	U.S. Application No. 11/667,323, entitled "Extended surface aggregates in the treatment of skin conditions", filed on May 8, 2007			
	44.	U.S. Application No. 09/555,986, entitled "Method for developing, testing and using associates of macromolecules and complex aggregates for improved payload and controllable de/association rates", filed on August 17, 2000			
	45.	U.S. Application No. 11/929,544, entitled "Method for developing, testing and using associates of macromolecules and complex aggregates for improved payload and controllable de/association rates", filed on October 30, 2007			

Examiner Signature	/Gollamudi Kishore/	Date Considered	11/19/2008
--------------------	---------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of that form with the application. *Applicant's unique citation designation number (optional). *See Keys Codes of USPTO Patent Document at www.uspto.gov or MPEP 904.1. *Leave this section blank if the document is a foreign document or code (WIPO Standard ST 3). *For Japanese patent documents, the indication of the year of the reign of the Emperor and the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. *Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Complying with this requirement is mandatory. Failure to do so will result in a 2 hour delay to complete, including gathering, preparing and submitting the completed application to the USPTO. This will vary depending upon the individual case. Any comments on the amounts of time you require to complete the form and your suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-9199) and select option 2.

Under the paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number.

				<i>Complete if Known</i>		
				Application Number	09/284,683	
				Filing Date	06/24/1999	
				First Named Inventor	Grego Cevc	
				Art Unit	1615	
				Examiner Name	G. Kishore	
Sheet	5	Of	5	Attorney Docket Number		35946-701.831

UNPUBLISHED PATENT APPLICATIONS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	46.	U.S. Application No. 11/929,480, entitled "Method for developing, testing and using associates of macromolecules and complex aggregates for improved payload and controllable de/association rates", filed on October 30, 2007

Examiner Signature	/Gollamudi Kishore/	Date Considered	11/19/2008
--------------------	---------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not claim is in conformance with MPEP 619. Draw line through claim if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional) *See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 601.04. *Entry Office that issued the document, by the five-letter code (WIPO Standard ST 3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the year of the patent document. *Indicate document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. *Applicant is to place a checkmark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual case. Any comments and/or amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Transmissions for Patent, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.